

No: 76/BC-CN

Soc Trang, Date 24. Month 01. Year 2025

## **REPORT ON CORPORATE GOVERNANCE 2024**

*(According to Circular No. 96/2020/TT-BTC dated November 16, 2020 of the Ministry of Finance)*

To:

- The State Securities Commission of Viet Nam;
- Hanoi Stock Exchange.

- Listed company name: SOC TRANG WATER SUPPLY JOINT STOCK COMPANY
- Address of Head office: No. 16 Nguyen Chi Thanh Street, Ward 6, Soc Trang City, Soc Trang Province
- Telephone: (0299) 3820943 Fax: (0299) 3821278 Email: info@soctrangwaco.vn
- Charter capital: VND 158,631,330,000
- Stock symbol: STW
- Governance model:
  - + General Meeting of Shareholders;
  - + Board of Directors (BOD);
  - + Board of Supervisors;
  - + General Director.
- The implementation of internal audit: Implemented.

### **I. Activities of the General Meeting of Shareholders**

In 2024, the Company held the following General Meeting of Shareholders meetings:

1. The 2024 Extraordinary General Meeting of Shareholders was held on May 16, 2024. The Meeting was unanimously approved by 100% of the shareholders/legal representatives of shareholders present, as recorded in Minutes No. 02/2024/BB-ĐHĐCĐ dated May 16, 2024; Resolution No. 01/2024/NQ-ĐHĐCĐ dated May 16, 2024 of the General Meeting of Shareholders, and was posted on the Company's website and approved for public disclosure on the Hanoi Stock Exchange system.

2. The 2024 Annual General Meeting of Shareholders was held on August 16, 2024. The Meeting was unanimously approved by 100% of the shareholders/legal representatives of shareholders present, as recorded in Minutes No. 03/2024/BB-ĐHĐCĐ dated August 16, 2024; Resolution No. 02/2024/NQ-ĐHĐCĐ dated August 16, 2024 of the General Meeting of Shareholders, and was posted on the Company's website and approved for public disclosure on the Hanoi Stock Exchange system.

## 3. Content of the Resolutions of the General Meeting of Shareholders 2024

No.	Resolution	Date	Content
1	No. 01/2024/N Q-ĐHĐCĐ	16/5/2024	<p>Approving the following matters:</p> <ul style="list-style-type: none"> <li>- Approval of the Agenda and Content of the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the Rules of Procedure for the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the amended and supplemented Charter of Soc Trang Water Supply Joint Stock Company, consisting of 09 Chapters and 86 Articles. This Charter shall take effect immediately after being approved by the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024;</li> <li>- Approval of the Company's profit distribution plan for 2022 and the profit distribution plan for 2023;</li> <li>- Dismissal of all Members of the Board of Directors and Members of the Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2017-2022;</li> <li>- Approval of the Election Regulations for the Board of Directors and Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029;</li> <li>- Election of Members of the Board of Directors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029, including: Mr. Tran Anh Hoa, Mr. Dang Van Ngo, Mr. Ong Hai Phuoc, Mr. Nguyen Trong Kien, Mr. Duong Ngo Hiep;</li> <li>- Election of Members of the Board of Supervisors of Soc Trang Water Supply Joint Stock Company for the term 2024-2029, including: Mr. Nguyen Hoai Bao Khanh, Mr. Tran Thanh Nhan, Mr. Nguyen Minh Phuong;</li> <li>- Authorizing Mr. Tran Thanh Nhan – Chairman to sign the Resolution of the second Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company, held on 16/5/2024.</li> </ul>
2	No. 02/2024/N Q-ĐHĐCĐ	16/8/2024	<p>1. Approval of the Reports:</p> <ul style="list-style-type: none"> <li>- Report No. 381/BC-CN dated 08/7/2024 of the General Director on business activities in 2023 and direction and tasks for 2024, with the following amendment on page 4: <ul style="list-style-type: none"> <li>+ <i>Original content: "- Total revenue in 2023 increased by 3.65% compared to 2022 and reached 98.83% of the 2023 plan."</i></li> <li>+ <i>Amended content: "- Total revenue in 2023 increased by 5.73% compared to 2022 and reached 101% of the 2023 plan."</i></li> </ul> </li> <li>- Report No. 382/BC-HĐQT dated 08/7/2024 of the Board of Directors on the management and activities of the Board of Directors in 2023, including: <p><i>"In the coming time, the Board of Directors will implement the 2022 dividend distribution according to Resolution No. 01/2024/NQ-ĐHĐCĐ dated 16/5/2024 of the second</i></p> </li> </ul>



No.	Resolution	Date	Content
			<p><i>Extraordinary General Meeting of Shareholders 2024 of Soc Trang Water Supply Joint Stock Company."</i></p> <ul style="list-style-type: none"> <li>- Report No. 383/BC-BKS dated 08/7/2024 of the Board of Supervisors on the activities of the Board of Supervisors in 2023 and a summary of the audited financial statements for 2023.</li> </ul> <p>2. Approval of the Proposals:</p> <ul style="list-style-type: none"> <li>- Proposal No. 384/TTr-HĐQT dated 08/7/2024 of the Board of Directors on the audited financial statements for 2023.</li> <li>- Proposal No. 385/TTr-HĐQT dated 08/7/2024 of the Board of Directors on the business plan for 2024.</li> <li>- Report No. 386/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2023 remuneration settlement and the 2024 remuneration plan of the Board of Directors and the Board of Supervisors of Soc Trang Water Supply Joint Stock Company.</li> <li>- Report No. 387/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2023 profit distribution plan and the 2024 profit distribution plan of Soc Trang Water Supply Joint Stock Company.</li> <li>- Report No. 388/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the 2024 investment plan for water supply projects and works.</li> <li>- Report No. 389/TTr-BKS dated July 8, 2024, of the Board of Supervisors on the selection of an audit unit for the 2024 financial statements, which states: "Select A&amp;C Auditing and Consulting Co., Ltd., an independent audit unit, as the service provider to audit the financial statements of Soc Trang Water Supply Joint Stock Company in the fiscal year 2024."</li> <li>- Report No. 390/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the Regulations on Corporate Governance.</li> <li>- Report No. 391/TTr-HĐQT dated July 8, 2024, of the Board of Directors on the Charter of the Board of Directors.</li> <li>- Report No. 392/TTr-BKS dated July 8, 2024, of the Board of Supervisors on the Charter of the Board of Supervisors.</li> </ul>

## II. Board of Directors

### 1. Information on Board of Director' members

No.	Member of the Board of Directors	Position	Start date/No longer a Member of the Board of Directors	
			Start Date	End Date
1	Tran Anh Hoa	Holding the position of Chairman of the Board of Directors since May 29, 2024	16/05/2024	
2	Dang Van Ngo	Member of the Board of Directors	16/05/2024	

3	Ong Hai Phuoc	Member of the Board of Directors	16/05/2024	
4	Duong Ngo Hiep	Member of the Board of Directors	16/5/2024	
5	Nguyen Trong Kien	Member of the Board of Directors	16/5/2024	
6	Nguyen Quang Mai	Holding the position of Chairman of the Board of Directors (from August 24, 2023 to May 16, 2024)	28/12/2017	16/5/2024
7	Nguyen Trong Hieu	Holding the position of Chairman of the Board of Directors (from December 28, 2017 to August 24, 2023)	28/12/2017	16/5/2024

## 2. Meetings of the Board of Directors:

- In-person meeting: 10 meetings.

- Summary of BOD opinions via BOD mailbox for BOD and General Director Board documents and submissions: 29 summary minutes were compiled.

No.	Member of the Board of Directors	Number of meetings attended by Board of Directors	Meeting attendance rate	Reasons for absence
1	Tran Anh Hoa	10/10	100%	
2	Dang Van Ngo	10/10	100%	
3	Ong Hai Phuoc	10/10	100%	
4	Duong Ngo Hiep	0/8	0%	Absent from the meeting without a clear reason
5	Nguyen Trong Kien	0/8	0%	Absent from the meeting without a clear reason
6	Nguyen Quang Mai	2/2	100%	
7	Nguyen Trong Hieu	2/2	100%	



### 3. Supervising the Board of Management by the Board of Directors

Pursuant to the Company's Charter, the Board of Directors has supervised the Board of General Directors through monthly reporting and planning of production and business activities, as well as monthly revenue and expenditure reports submitted to the Board of Directors for approval. For tasks beyond their authority, the Board of General Directors submits written requests for approval to the Board of Directors..

### 4. Activities of the Board of Directors' subcommittees:

The Board of Directors did not establish any sub-committees.

### 5. Resolutions/Decisions of the Board of Directors

No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
1.	Resolution No. 01/NQ-HĐQT	03/01/2024	The Resolution's content is invalid because Chairman Nguyen Quang Mai unilaterally signed and issued it without the approval of the majority of the Board of Directors members present at the meeting (2/5 approval).	- 40% approval including Mr. Hieu, Mr. Mai. - 60% disapproval including Mr. Hoa, Mr. Ngo, Mr. Phuoc.
2.	Resolution No. 01/NQ-HĐQT.NK24-29	29/5/2024	Election of the Chairman of the Board of Directors for the term 2024-2029	100% of the Board of Directors members present at the meeting approved.
3.	Resolution No. 02/NQ-HĐQT.NK24-29	29/5/2024	Dismissal of the Company's Executive Board positions for the 2017-2022 term	100% of the Board of Directors members present at the meeting approved.
4.	Resolution No. 03/NQ-HĐQT.NK24-29	29/5/2024	Appointment of the General Director of the Company for the 2024-2029 term	100% of the Board of Directors members present at the meeting approved.
5.	Resolution No. 04/NQ-HĐQT.NK24-29	29/5/2024	Appointment of the Deputy General Director of the Company for the 2024-2029 term	100% of the Board of Directors members present at the meeting approved.
6.	Resolution No. 05/NQ-HĐQT.NK24-29	29/5/2024	Appointment of the Deputy General Director of the Company for the 2024-2029 term	100% of the Board of Directors members present at the meeting approved.
7.	Resolution No. 06/NQ-HĐQT.NK24-29	29/5/2024	Appointment of the Chief Accountant of the Company for the 2024-2029 term	100% of the Board of Directors members present at the meeting approved.
8.	Resolution No. 07/NQ-HĐQT.NK24-29	29/5/2024	Appointment of the person in charge of corporate governance and Company Secretary	100% of the Board of Directors members present at the meeting approved.
9.	Resolution No.	29/5/2024	Appointing a representative to	100% of the Board of

No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
	08/NQ-HĐQT.NK24-29		manage Soc Trang Water Supply Joint Stock Company's capital contribution in Soc Trang Water Joint Stock Company	Directors members present at the meeting approved.
10.	Resolution No. 09/NQ-HĐQT.NK24-29	29/5/2024	Dissolution of the Branch of Soc Trang Water Supply Joint Stock Company in Hanoi City.	100% of the Board of Directors members present at the meeting approved.
11.	Resolution No. 10/NQ-HĐQT.NK24-29	29/5/2024	Dismissal of the Assistant Cluster and Secretariat positions for the 2024-2029 term	100% of the Board of Directors members present at the meeting approved.
12.	Resolution No. 11/NQ-HĐQT.NK24-29	12/6/2024	Convening the Annual General Meeting of Shareholders 2024	60% approval with: - Approval from Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion from Mr. Kien, Mr. Hiep.
13.	Resolution No. 12/NQ-HĐQT.NK24-29	12/6/2024	Repeal of several documents of the Board of Directors for the 2017-2022 term	60% approval with: - Approval from Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion from Mr. Kien, Mr. Hiep.
14.	Resolution No. 13/NQ-HĐQT.NK24-29	06/6/2024	Approval of Submission No. 284/TTr-CN dated 03/6/2024 from the General Director regarding the bonus allocation for the Management Board in 2022.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
15.	Resolution No. 14/NQ-HĐQT.NK24-29	10/6/2024	Approval of Submission No. 286/TTr-CN dated 03/6/2024 from the General Director regarding financial support for rewarding children of company employees with excellent academic achievements in 2023-2024.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
16.	Resolution No. 15/NQ-HĐQT.NK24-29	13/6/2024	Approval of Submission No. 285/TTr-CN dated 03/6/2024 from the General Director regarding the approval of the profit distribution plan for 2022 of the SOTRAWA Bottled Drink Production Enterprise.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
17.	Resolution No. 16/NQ-	13/6/2024	Approval of Submission No. 304/TTr-CN dated 10/6/2024 from	60% approval with: - In favor: Mr. Hoa,



No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
	HĐQT.NK24-29		the General Director regarding bonus allocation for groups and individuals with achievements in 2022 and emulation titles in 2022.	Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
18.	Resolution No. 17/NQ-HĐQT.NK24-29	27/6/2024	Approval of "Submission No. 358/TTr-CN dated 24/6/2024 from the General Director regarding the organization of employee tours in 2024".	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
19.	Resolution No. 18/NQ-HĐQT.NK24-29	22/07/2024	Approval in principle to apply for a permit to increase the exploitation capacity of Nguyen Chi Thanh Industrial Park Wastewater Treatment Plant and Hai Ngu Water Supply Station.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
20.	Resolution No. 19/NQ-HĐQT.NK24-29	25/07/2024	Approval of the purchase of a truck for transporting company materials.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
21.	Resolution No. 20/NQ-HĐQT.NK24-29	25/07/2024	Change the time of the 2024 Annual General Meeting.	60% approval with: - In favor: Mr. Hoa, Mr. Ngo, Mr. Phuoc. - No voting opinion: Mr. Kien, Mr. Hiep.
22.	Resolution No. 21/NQ-HĐQT.NK24-29	26/07/2024	Approve investment in a wastewater treatment system for subsidiary water plants.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
23.	Resolution No. 22/NQ-HĐQT.NK24-29	26/07/2024	Approve investment policy for a treatment system to improve clean water quality for subsidiary water plants.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
24.	Resolution No. 23/NQ-HĐQT.NK24-29	30/07/2024	Approve the implementation of sludge dredging and sludge waste transportation at the water reservoir of Nguyen Chi Thanh Enterprise.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
25.	Resolution No. 24/NQ-HĐQT.NK24-29	30/07/2024	Approve the sale and disposal of a 7-seater car and a crawler excavator.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion

No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
				from Mr.: Kien, Hiep.
26.	Resolution No. 25/NQ- HĐQT.NK24-29	20/08/2024	Approve the implementation of the Vinh Chau Town Water Supply System Project; the 30/4 Street extended pipeline section.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
27.	Resolution No. 26/NQ- HĐQT.NK24-29	23/08/2024	Approve the Proposal No. 472, 473, 474/TTr-CN dated August 19, 2024, from the Board of Management regarding the approval of the 2023 profit distribution of Sotraco Enterprise, the budget for purchasing Mid-Autumn Festival gifts, and the expenditure from the welfare fund for September 2, 2024	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
28.	Resolution No. 27/NQ- HĐQT.NK24-29	05/09/2024	Approve hiring a consulting firm to prepare an environmental impact assessment report for the NCT Water Plant and the Industrial Park Plant.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion from Mr.: Kien, Hiep.
29.	Resolution No. 28/NQ- HĐQT.NK24-29	24/09/2024	Pay 2022 Cash dividends to the company's shareholders.	100% of the Board of Directors members attending the meeting approved.
30.	Resolution No. 29/NQ- HĐQT.NK24-29	24/09/2024	Development of the contents approved at the Board of Directors meeting on 23/9/2024.	100% of the Board of Directors members attending the meeting approved.
31.	Resolution No. 30/NQ- HĐQT.NK24-29	27/09/2024	Approve the budget for employee uniforms in 2024.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
32.	Resolution No. 31/NQ- HĐQT.NK24-29	16/10/2024	Approval of financial support for the grassroots Trade Union to organize activities on the occasion of the establishment of the Vietnam Women's Union on 20/10	60% passed with: - Approvals from Mr.: Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
33.	Resolution No. 32/NQ-	16/10/2024	Approval of the policy to expand and renovate the network as	60% passed with: - Approvals from



No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
	HĐQT.NK24-29		proposed by Phu Loi, Ke Sach, and Vinh Chau Civil group/Clusters	Mr.: Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
34.	Resolution No. 33/NQ-HĐQT.NK24-29	01/11/2024	Approval of the 2024 payroll fund	60% passed with: - Approvals from Mr.: Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
35.	Resolution No. 34/NQ-HĐQT.NK24-29	04/11/2024	Approval to hire a consulting unit to prepare an environmental impact assessment report for the Hai Ngu Water Supply Station	60% passed with: - Approvals from Mr.: Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
36.	Resolution No. 35/NQ-HĐQT.NK24-29	22/11/2024	Disbursement for 2023 rewards	60% passed with: - Approvals from Mr.: Hoa, Ngo, Phuoc. - No voting opinion include Mr.: Kien, Hiep.
37.	Resolution No. 36/NQ-HĐQT.NK24-29	02/12/2024	Development of the contents approved at the Board of Directors meeting on 02/12/2024	100% of the Board of Directors members attending the meeting approved
38.	Resolution No. 37/NQ-HĐQT.NK24-29	02/12/2024	Issuance of the Company's Financial Regulations	100% of the Board of Directors members attending the meeting approved
39.	Resolution No. 38/NQ-HĐQT.NK24-29	02/12/2024	Issuance of the Company's Welfare fund Management Regulations	100% of the Board of Directors members attending the meeting approved
40.	Resolution No. 39/NQ-HĐQT.NK24-29	02/12/2024	Issuance of the Company's Bonus fund Management Regulations	100% of the Board of Directors members attending the meeting approved
41.	Resolution No. 40/NQ-HĐQT.NK24-29	03/12/2024	Issuance of the Regulations on Information Disclosure	100% of the Board of Directors members attending the meeting approved
42.	Resolution No.	03/12/2024	Convening an extraordinary	100% of the Board of

No.	Number of Resolutions/Decisions	Date	Content	Approval Rate
	41/NQ-HDQT.NK24-29		General Meeting of Shareholders	Directors members attending the meeting approved
43.	Resolution No. 42/NQ-HDQT.NK24-29	03/12/2024	Cash dividends payment for the year 2023 to the Company's shareholders	100% of the members of the Board of Directors attended and approved the meeting.
44.	Resolution No. 43/NQ-HDQT.NK24-29	31/12/2024	Approve the policy of supplementing surface water sources for Phong Nam Water Supply Station, with an extraction capacity of 1,500m <sup>3</sup> /day - night.	60% approval with: - Approved by Mr. Hoa, Ngo, Phuoc. - No voting opinions include Mr.: Kien, Hiep.

### III. Board of Supervisors

#### 1. Information on Member of the Board of Supervisors

No.	Member of the Board of Supervisors	Position	Start Date/No longer a Member of the Board of Supervisors		Qualification
			Start Date	End Date	
1	Nguyen Hoai Bao Khanh	Held the position of Head of the BoS from 03/6/2024	16/5/2024		Bachelor of Accounting
2	Tran Thanh Nhan	Deputy Head of the BoS	16/5/2024		Bachelor of Information Technology, Intermediate Business Accounting, Intermediate Water Supply and Drainage
3	Nguyen Minh Phuong	Member	16/5/2024		Master of Economic Management
4	Vu Tien Bo	Held the position of Head of the BoS from 28/12/2017 to 16/5/2024	28/12/2017	16/5/2024	Bachelor of Finance and Accounting
5	Nguyen Trong Kien	Member	25/4/2022	16/5/2024	Bachelor of Economics



## 2. Meetings of Board of Supervisors

No.	Member of the Board of Supervisors	Number of BoS meetings attended	Meeting attendance rate	Reasons for absence
1	Nguyen Hoai Bao Khanh	3/3	100%	
2	Tran Thanh Nhan	7/7	100%	
3	Nguyen Minh Phuong	0/3	0%	Absent without reason
4	Vu Tien Bo	4/4	100%	
5	Nguyen Trong Kien	4/4	100%	

## 3. Supervising Board of Directors, Board of Management and shareholders by Board of Supervisors:

Due to changes in the members of the Board of Supervisors in the first 6 months of 2024, which caused some difficulties in performing tasks, mainly monitoring the content of Board of Directors meetings to keep up with the Company's operating situation; conducted inspections and supervised the financial and business situation in 2024;

During the 2024-2029 term, up to the present time, the Board of Supervisors has received 08 invitations to the Board of Directors meetings (04 invitations for the 1st meeting and 04 invitations for the 2nd meeting). In all 04 first meetings, the quorum was not met because 02 Members of the Board of Directors, Mr. Duong Ngo Hiep and Mr. Nguyen Trong Kien, did not attend the meetings (no reason provided). The second meetings were held with 3/5 Members of the Board of Directors in attendance: Mr. Tran Anh Hoa, Mr. Dang Van Ngo, and Mr. Ong Hai Phuoc (representing 60%, meeting the quorum requirement according to the Company Charter). 02 Members of the Board of Directors, Mr. Duong Ngo Hiep and Mr. Nguyen Trong Kien, were absent for unknown reasons.

## 4. The coordination among the Board of Supervisors, Audit Committee, the Board of Management, Board of Directors and other managers:

The Board of Supervisors has received cooperation and facilitation from the Board of Directors, the General Director Board, and functional departments of the Company in updating the Company's operational status and collecting information and documents for inspection and supervision purposes.

The Board of Supervisors maintains internal communication with the Board of Directors and the General Director Board to ensure that the Company's operations are appropriate and meet the shareholders' interests.

## 5. Other activities of the Board of Supervisors:

Organizing regular and ad-hoc meetings to assign tasks and develop work according to assigned functions, duties, and authorities.

Issuing the Board of Supervisors' operating regulations.

Notifying the Board of Directors and the Board of Supervisors of the company with 02 official documents regarding Members of the Board of Directors and the Board of

Supervisors for the 2024-2029 term not participating in activities for 6 consecutive months.

#### IV. Board of Management

No.	Member of Board of Management	Position	Date of birth	Qualification	Date of appointment/dismissal
1	Dang Van Ngo	General Director	10/6/1965	Engineer of Water Supply and Drainage	10/01/2018
2	Tran Anh Hoa	Deputy General Director	12/12/1972	Bachelor of Laws; Engineer of Water Supply and Drainage	10/01/2018
3	Ong Hai Phuoc	Deputy General Director	05/11/1965	Engineer of Water Supply and Drainage	10/01/2018

#### V. Chief Accountant

Full name	Date of birth	Qualification	Date of appointment
Vo Thi Phuong Dieu	08/6/1981	Bachelor of Laws, Bachelor of Accounting	10/01/2018

#### VI. Training courses on corporate governance: None

#### VII. List of affiliated persons of the public company and transactions of affiliated persons of the Company

1. List of affiliated persons of the company: (Attached in Appendix 1)
2. Transactions between the company and its affiliated persons or between the company and major shareholders and between the company and internal persons: (Attached in Appendix 2)
3. Transactions between internal persons, affiliated persons of internal persons with subsidiaries, companies controlled by the company: None
4. Transactions between the company and other entities
  - 4.1. Transactions between the company and companies where members of the Board of Directors, members of the Board of Supervisors, Directors (General Director) have been and are founding members or members of the Board of Directors, Directors (General Director) within the last three (03) years (calculated at the time of reporting): None.
  - 4.2. Transactions between the company and companies where affiliated persons of members of the Board of Directors, members of the Board of Supervisors, Directors (General Director) are members of the Board of Directors, Directors (General Director): None.
  - 4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, Directors (General Director): None.



**VIII. Share transactions of internal persons and affiliated persons of internal persons:**

1. List of internal persons and affiliated persons of internal persons: (Attached in Appendix 3)
2. Transactions of internal persons and affiliated persons with the listed shares of the company: (Attached in Appendix 4)

**IX. Other significant issues: None.**

**Recipients:**

- As above;
- BOD, Member of the Board of Supervisors, BOM;
- File: VT, HC.

**CHAIRMAN OF THE BOARD OF DIRECTORS**



**Tran Anh Hoa**



### Appendix 1: List of affiliated persons of the company

(Attached to Report No. 76.../BC-CN dated 24.../01.../2025 of Soc Trang Water Supply Joint Stock Company)

No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationshi p with the company
1	Soc Trang Provincial People's Committee		State shareholder			28/12/2017			State shareholder
2	VBIC Vietnam Joint Stock Company		Major shareholder			07/7/2020			Major shareholder
3	Nghiem Thi Le Hang		Major shareholder			23/8/2023			Major shareholder
4	Nguyen Quang Mai		Chairman of the Board of Directors (term 2017- 2022), Deputy General Director			28/12/2017	- Dismissed as Chairman of the Board of Directors, Member of the Board of Directors from 16/5/2024 - Dismissed as Deputy General Director from 29/5/2024	Dismissed due to the end of term	



[illegible]

No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
4.14	Than Nhan Lam								Elder brother-in-law
4.15	Nguyen Huu Tan								Elder brother-in-law
4.16	VBIC Vietnam Joint Stock Company								Mr. Nguyen Quang Mai is the representative managing the contributed capital and Chairman of the Board of Directors of VBIC Vietnam Joint Stock Company
4.17	Dong A International Corporation								Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Dong A International Group Joint Stock



No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
									Company
4.18	Ngo Vietnam Investment and Development Joint Stock Company								Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Ngo Vietnam Investment and Development Joint Stock Company
4.19	Thai Nguyen Water Joint Stock Company								Mr. Nguyen Quang Mai is the Chairman of the Board of Directors of Thai Nguyen Clean Water Joint Stock Company
4.20	DOINCO Vietnam Joint Stock Company								Mr. Nguyen Quang Mai is the Chairman of DOINCO Vietnam

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No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
6.9	Le Hoang Vu								Elder brother-in-law
6.10	Tran Anh Hien		Factory Director						Order Sibling
6.11	Nguyen Kim Loan								Elder sister-in-law
6.12	Tran Phuong Hong								Order Sibling
6.13	Nguyen Viet Ba								Elder brother-in-law
6.14	Nguyen Ngoc Hien								Mother-in-law (wife's side)
6.15	Nguyen Ngoc Kiem								Mother-in-law (wife's side)
6.16	Soc Trang Provincial People's Committee					26/6/2023			Mr. Tran Anh Hoa is the representative managing the State's capital portion
6.17	Trade Union of Soc Trang Water Supply					28/12/2017			Mr. Tran Anh Hoa is the



No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
	Joint Stock Company								representative managing the capital portion of the grassroots Trade Union
7	Nguyen Trong Hieu		Member of the Board of Directors (term 2017-2022),			28/12/2017	16/5/2024	Dismissed due to end of term	
7.1	Nguyen Duc Hung								Father
7.2	Do Thi Thinh								Mother
7.3	Tran Thanh Ha								Wife
7.4	Nguyen Hieu Minh								Son
7.5	Nguyen Ha Phuong								Daughter
7.6	Nguyen Manh Dung								Elder brother-in-law
7.7	Nguyen Thanh Tuan								Younger Sibling
7.8	Hawaco Joint Stock Company								Mr. Nguyen Trong Hieu holds the

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No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
8.10	Duong Thi Thao								Elder sister-in-law
8.11	Ong Ngoc Sen								Order Sibling
8.12	Ong Phat Tai		Factory Director						Younger Sibling
8.13	Mai Tiet Anh								Younger sister-in-law
8.14	Ong Tai Thuan								Younger Sibling
8.15	Tran Ngoc Thuy								Younger sister-in-law
8.16	People's Committee of Soc Trang Province								Mr. Ong Hai Phuoc acts as the representative managing the State's capital portion
9	Nguyen Trong Kien		Member of the Board of Directors			Joined the Board of Supervisors : 25/4/2022	Dismissed from the Board of Supervisors: 16/5/2024	End of term	
						Joined the Board of Directors: 16/5/2024		Elected to the Board of Directors	

No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
								for the term 2024-2029	
9.1	Nguyen Trong Yen								Father
9.2	Dao Thi Lien								Mother
9.3	Pham Thi Huong								Wife
9.4	Nguyen Quang Vinh								Child
9.5	Nguyen Khanh Vy								Child
9.6	VBIC Vietnam Joint Stock Company								Mr. Nguyen Trong Kien is the General Director of VBIC Vietnam Joint Stock Company
10	Duong Ngo Hiep		Member of the Board of Directors			16/5/2024		Elected to the Board of Directors for the term 2024-	

[illegible]



No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
12	<b>Vu Tien Bo</b>		Head of the Board of Supervisors (term 2017-2022),			28/12/2017	16/5/2024	Dismissal of Member of the Board of Supervisors due to the end of term	
12.1	Vu Duc Nguyen								Father
12.2	Nguyen Thi Gam								Mother
12.3	Pham Thi Quynh Hoa								Wife
12.4	Vu Dang Quang								Son
12.5	Vu Gia Khanh								Son
12.6	Vu Canh Toan								Elder brother
13	<b>Nguyen Hoai Bao Khanh</b>	058C654379	Head of the BoS			16/5/2024		Elected Member of the Board of Supervisors for the term 2024-2029	

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No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
									sibling
14.6	Huynh Van Hien								Younger brother-in-law
14.7	Quach Thi Nguyet Dao								Wife
14.8	Tran Quy Khiem								Child
14.9	Tran Tuan Kiet								Child
14.10	Quach Binh So								Father-in-law (wife's side)
14.11	Lam Thi Nghiem								Mother-in-law (wife's side)
15	Nguyen Minh Phuong		Major Shareholder, Member of the Board of Supervisors			- Major Shareholder from 08/23/2023 - Member of the Board of Supervisors from 05/16/2024			
15.1	The Company sent Official Letter No. 07/CN-HĐQT dated 07/01/2025 from the Company's Board of Directors regarding the request to provide a list of Major Shareholders and their Qualified Close Relatives. However, Mr. Nguyen Minh Phuong still did not provide the Qualified Close Relatives information for the Company to update and report.								
16	Dang Tri Dung	058C63061 7	Person in charge of			29/5/2024		Appointed by	



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No.	Name of organization/ individual	Securities trading account	Position at the company	NSH No.*, date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reason	Relationship with the company
									(wife's side)
16.13	Quach Bich Lam								Mother-in-law (wife's side)
17	Soc Trang Water Joint Stock Company					28/12/2017			Affiliated company



**Appendix 2: Transactions between the company and its affiliated persons or between the company and major shareholders and between the company and internal persons**

(Attached to Report No. 76.../BC-CN dated 24.../01/2025 of Soc Trang Water Supply Joint Stock Company)

No.	Name of organization/individual	Relationship with the Company	NSH No.*, date of issue, place of issue	Address	Time of transactions with the Company	Resolution No., or Decision No. approved No. approved by General Meeting of Shareholders/Board of Directors (if any, specifying date of issue)	Content, quantity, total transaction value
1	Soc Trang Water Joint Stock Company	Affiliated company	2200680885	D4 Road, An Nghiep Industrial Park, Ward 7, Soc Trang City	From 01/01/2024 to 31/12/2024	Resolution No. 02/NQ-HDQT dated 10/01/2020 of the Board of Directors on approving the adjustment of clean water purchase price from Soc Trang Water Joint Stock Company	Contract for wholesale purchase and sale of clean water with a total transaction value of 16,153,179,056 VND





**Appendix 3: List of internal persons and affiliated persons of internal persons**  
 (Attached to Report No. 76./BC-CN dated 24./01/2025 of Soc Trang Water Supply Joint Stock Company)

No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
1	Soc Trang Provincial People's Committee		State shareholder		7,772,935	49.00	- Mr. Tran Anh Hoa is the representative managing 3,886,468 shares - Mr. Ong Hai Phuoc is the representative managing 3,886,467 shares
2	VBIC Vietnam Joint Stock Company		Major shareholder		3,013,213	18.995	- Mr. Nguyen Quang Mai is the representative managing 1,586,313 shares - Mr. Nguyen Trong Kien is the representative managing 1,426,900 shares
3	Nghiem Thi Le Hang		Major shareholder		3,807,152	24.00	
4	Dang Van Ngo		Member of the Board of Directors, General Director		7,900	0.05	
4.1	Dang Van Bay				0	0	Father
4.2	Tran Thi Xuan				0	0	Mother
4.3	Le Thi Phuong Dung				0	0	Wife
4.4	Dang Nhu Y		Deputy Director of NCT Factory		218,000	1.3743	Daughter
4.5	Tran Hong Trong		Technical Department Staff		2,300	0.015	Child in law

No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
			Technical Department				
4.6	Dang Nhu Ngoc				0	0	Daughter
4.7	Ly Co Minh Quan				0	0	Child-in-law
5	<b>Tran Anh Hoa</b>		Chairman of the Board of Directors, Deputy General Director		7,300	0.046	
5.1	Tran Kiem Hung				-	-	Father
5.2	Do Thi Quang				0	0	Mother
5.3	Nguyen Ngoc My Hong				1,600	0.01	Wife
5.4	Tran Anh Huy				0	0	Son
5.5	Tran Minh Huy				0	0	Son
5.6	Tran Anh Hong				0	0	Order Sibling
5.7	Bui Khanh Hung				0	0	Elder brother-in-law
5.8	Tran Thuy Hong				0	0	Order Sibling
5.9	Le Hoang Vu				0	0	Elder brother-in-law
5.10	Tran Anh Hien		Director of Long Phu Enterprise		5,000	0.032	Order Sibling
5.11	Nguyen Kim Loan				0	0	Elder sister-in-law
5.12	Tran Phuong Hong				0	0	Order Sibling
5.13	Nguyen Viet Ba				0	0	Elder brother-in-law
5.14	Nguyen Ngoc Hien				0	0	Mother-in-law (wife's side)

No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
5.15	Nguyen Ngoc Kiem				0	0	Mother-in-law (wife's side)
5.16	Soc Trang Provincial People's Committee				7,772,935	49.00	Mr. Tran Anh Hoa is the representative managing 3,886,468 shares.
6	<b>Ong Hai Phuoc</b>		Member of the Board of Directors, Deputy General Director		8,500	0.054	
6.1	Ong Hung				-	-	Father
6.2	Quach Thi Kiem				-	-	Mother
6.3	Le Thi Yen Ngoc				0	0	Wife
6.4	Ong Hai Nguyen				2,700	0.017	Child
6.5	Chau Tran Quynh Trang				0	0	Child in law
6.6	Ms. Thi Lang				0	0	Order Sibling
6.7	Ong Hai Chau				0	0	Order Sibling
6.8	Ong Van Bau				0	0	Elder brother-in-law
6.9	Ong Hai Khen				0	0	Order Sibling
6.10	Duong Thi Thao				0	0	Elder sister-in-law
6.11	Ms. Ngoc Sen				0	0	Order Sibling
6.12	Ong Phat Tai		Factory Director Vinh Chau		10,500	0.066	Younger Sibling
6.13	Mai Tiet Anh				0	0	Younger sister-in-law
6.14	Ong Tai Thuan				0	0	Younger Sibling



No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
6.15	Tran Ngoc Thuy				0	0	Younger sister-in-law
6.16	Soc Trang Provincial People's Committee				7,772,935	49.00	Mr. Ong Hai Phuoc is the representative managing 3,886,467 shares.
7	<b>Nguyen Trong Kien</b>		Member of the Board of Directors		0	0	
7.1	Nguyen Trong Yen				0	0	Father
7.2	Dao Thi Lien				0	0	Mother
7.3	Pham Thi Huong				0	0	Wife
7.4	Nguyen Quang Vinh				0	0	Child
7.5	Nguyen Khanh Vy				0	0	Child
8	<b>Duong Ngo Hiep</b>		Member of the Board of Directors		0	0	
8.1	<p>The company sent Official Letter No. 07/CN-HĐQT dated January 7, 2025, from the Board of Directors, requesting the provision of the list of major shareholders and their related persons.</p> <p>However, Mr. Dương Ngô Hiệp still hasn't provided the related person's information for the company to update and report.</p>						
9	<b>Vu Thi Phuong Dieu</b>		Chief Accountant		200	0.001	
9.1	Vu Ba Duong				0	0	Father
9.2	Duong Thi Lang				0	0	Mother
9.3	Chau Van Sanh				0	0	Father-in-law (husband's side)
9.4	Tran Toi Ty				0	0	Mother-in-law (husband's side)
9.5	Chau Nhut Thanh				0	0	Husband
9.6	Chau Ngoc Mai				0	0	Daughter

No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
9.7	Vu Thi Phuong Thao				0	0	Order Sibling
9.8	Nguyen Loc Em				0	0	Elder brother-in-law
9.9	Vu Thi Phuong Ngan				0	0	Younger Sibling
<b>10</b>	<b>Nguyen Hoài Bao Khanh</b>		Head of the BoS		2,000	0.0126	
10.1	Le Thi Duy Diep				0	0	Mother
10.2	Doan Van Dong				0	0	Father-in-law (wife's side)
10.3	Le Thi Phuong				0	0	Mother-in-law (wife's side)
10.4	Doan Thi Hoai Thu				2,000	0.0126	Wife
10.5	Nguyen Dang Khoi				0	0	Son
10.6	Nguyen Hoang Anh Thu				0	0	Order Sibling
10.7	Nguyen Hoàng Tung				0	0	Elder brother-in-law
<b>11</b>	<b>Tran Thanh Nhan</b>		Deputy Head of the BoS		43,900	0.277	
11.1	Tran Du Chen				0	0	Father
11.2	Lam Thi Xieu				0	0	Mother
11.3	Tran Kim Phuong				0	0	Younger Sister
11.4	Nguyen Van Dang				0	0	Younger brother-in-law
11.5	Tran Kim Phuoc				0	0	Younger Sister
11.6	Huynh Van Hien				0	0	Younger brother-in-law
11.7	Quach Thi Nguyet Dao				0	0	Wife
11.8	Tran Quy Khiem				0	0	Child

No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
11.9	Tran Tuan Kiet				0	0	Child
11.10	Quach Binh So				-	-	Father-in-law (wife's side)
11.11	Lam Thi Nghiem				-	-	Mother-in-law (wife's side)
12	Nguyen Minh Phuong		Member of the Board of Supervisors		801,401	5.052	
12.1	<p>The Company sent Official Letter No. 07/CN-HĐQT dated 07/01/2025 from the Company's Board of Directors regarding the request to provide a list of internal persons and their related persons.</p> <p>However, Mr. Nguyen Minh Phuong still did not provide the related person's information for the Company to update and report.</p>						
13	Dang Tri Dung		In charge of administration and Company Secretary		4,900	0.031	
13.1	Dang Van Be				0	0	Father
13.2	Van Ngoc Tham				0	0	Mother
13.3	Nghiem Thuy Duong				0	0	Wife
13.4	Dang Diem Quynh				0	0	Daughter
13.5	Dang Huy Hoang				0	0	Son
13.6	Dang Thi Cam Tu				0	0	Elder sister
13.7	Van Quoc Tam				0	0	Elder brother-in-law
13.8	Dang Thi Xuan Dao				0	0	Elder sister
13.9	Nguyen Van Sy				0	0	Elder brother-in-law
13.10	Dang Thi Thuy Lieu				1,200	0.008	Younger sister



No.	Full name	Securities trading account	Position at the Company	Citizen Identity Card No. /Passport	Number of shares held at the end of the period	Shareholding ratio at the end of the period (%)	Note
13.11	Dang Trung Dung		Deputy Director of the Factory		0	0	Younger brother
13.12	Nghiem Xuan Thuy				0	0	Mother-in-law (wife's side)'s Father
13.13	Quach Bich Lam				0	0	Mother-in-law (wife's side)
14	Soc Trang Water Joint Stock Company		Affiliated company		0	0	Affiliated company



**Appendix 4: Transactions of internal persons and affiliated persons with respect to shares of the listed company**  
(Attached to Report No. 26./BC-CN dated 24./..01./2025 of Soc Trang Water Supply Joint Stock Company)

No.	Transaction Executor	Relationship with internal persons	Number of shares held at the beginning of the period		Number of shares held at the end of the period		Reason for increase/decrease
			Number of shares	Percentage	Number of shares	Percentage	
1	Nguyen Minh Phuong	Member of the Board of Supervisors; Major shareholder	809,101	5.1%	801,401	5.052%	Sell